

DOCK NO.: 19226/950 (R-5557)  
EXPRESS MAIL NO.: EL158794196US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

**BOX: PATENT APPLICATION**

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 44 pages) of:

Inventors : **Zhifeng Ren, Zhongping Huang, Jui H. Wang, and Dezhi Wang**

For : **FREE-STANDING AND ALIGNED CARBON NANOTUBES AND SYNTHESIS THEREOF**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner :  
Art Unit :

Enclosed are:

- ☒ 19 sheets of informal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_\_ pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (\_\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☐ Verified statement to establish small entity status (\_\_\_\_\_ pages) (newly signed or copy filed in prior application).

- ☐ Preliminary Amendment (\_\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_\_ pages) and \_\_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (3 pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

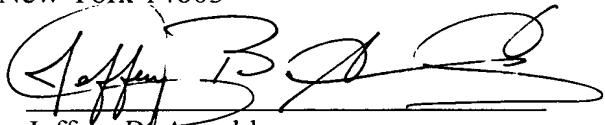
The Filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$ 380	OR	XXXX	\$760
TOTAL CLAIMS	86 - 20 =	66	x 9 =	\$ 594	OR	x 18 =	\$
INDEP CLAIMS	15 - 3 =	12	x 39 =	\$ 468	OR	x78 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x130 =	\$ 0	OR	x260 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$1,442	OR	TOTAL	\$

- ☒ A check in the amount of **\$1,442.00** to cover the filing fee is enclosed.
- ☒ Address all future communications to:

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Date: June 18, 1999

  
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**EXPRESS MAIL CERTIFICATE**



DOCKET NO. : 19226/950 (R-5557)  
APPLICANTS : Zhifeng Ren, Zhongping Huang, Jui H. Wang, and Dezhi Wang  
TITLE : **FREE-STANDING AND ALIGNED CARBON NANOTUBES  
AND SYNTHESIS THEREOF**

Certificate is attached to the **Informal Drawings (19 pages)** of the above-named application.

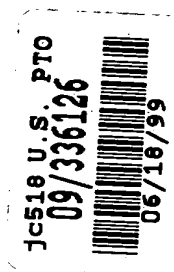
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**Jo Ann Whalen**  
(Typed or printed name of person mailing  
paper or fee)

  
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**EXPRESS MAIL CERTIFICATE**



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Certificate is attached to the **Patent Application Including Specification, Claims, and Abstract (44 pages) and Unsigned Combined Declaration and Power of Attorney (3 pages)** of the above-named application.

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**Jo Ann Whalen**  
(Typed or printed name of person mailing  
paper or fee)

  
(Signature of person mailing paper or fee)